

ON A PROBLEM OF ERDŐS CONCERNING PROPERTY B

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A family \mathcal{F} of sets has property B if there exists a set S such that $S \cap F \neq \emptyset$ and $S \not\supset F$ for every $F \in \mathcal{F}$. \mathcal{F} has property $B(s)$ if there exists a set S such that $0 < |F \cap S| < s$ for every $F \in \mathcal{F}$. Denote by $m(n)$ (respectively $m(n, s)$) the size of a smallest family of n -element sets not having property B (respectively $B(s)$). P. Erdős has asked whether $m(n, s) \geq m(s)$ for all $n \geq s$. We show that, in general, this inequality does not hold.

A family \mathcal{F} of sets is said to have property B if there exists a set S such that $S \cap F \neq \emptyset$ and $S \not\supset F$ for every $F \in \mathcal{F}$. \mathcal{F} is said to have property $B(s)$ if there exists a set S such that $0 < |F \cap S| < s$ for every $F \in \mathcal{F}$. Let n and s be positive integers, $n \geq s$. Denote by $m(n)$ (respectively $m(n, s)$) the size of a smallest family of n -element sets not having property B (respectively $B(s)$). Note that $m(n, n) = m(n)$. A survey of known results concerning $m(n)$ and $m(n, s)$ is given in [2].

P. Erdős has asked whether $m(n, s) \geq m(s)$? This is clearly so if $s=1$ since $m(n, 1) = m(1) = 1$, and is known to be so for $s=2, 3$ (see [1]). The object of this note is to prove that the answer, in general, is no. We shall prove the following theorems:

Theorem 1. For all $s \geq 1$,

$$(1) \quad m(2^{s-1}, s) \leq 2^s - 1.$$

Theorem 2. For all $s \geq 1$ and $n \geq 5 \cdot 2^{s-3}$

$$(2) \quad m(n, s) \leq 2^{s+2} - 2s - 4.$$

It has been shown by Beck [4] that for every $\varepsilon > 0$, $m(s) > s^{(1/\varepsilon) - \varepsilon} 2^s$, provided $s \geq s_0(\varepsilon)$. It is clear that Theorem 2 and this result imply that $m(n, s) < m(s)$ for s large and n large compared to s . We remark that Spencer [5] has given a proof of Beck's theorem which is simpler than that given in [4], although it is based on similar ideas.

Proof of Theorem 1. Let V_s denote the s -dimensional vector space over \mathbb{Z}_2 . By a hyperplane we mean an $(s-1)$ -dimensional subspace of V_s ; equivalently, the set of

points (x_1, x_2, \dots, x_s) satisfying an equation of the form $a_1 x_1 + a_2 x_2 + \dots + a_s x_s = 0$. Let \mathcal{H}_s denote the set of all hyperplanes and let $\mathcal{V}_s = \{\bar{H} : H \in \mathcal{H}_s\}$, where \bar{H} denotes, as usual, the complement of H . Observe that if H is given by $a_1 x_1 + a_2 x_2 + \dots + a_s x_s = 0$, then \bar{H} is given by $a_1 x_1 + a_2 x_2 + \dots + a_s x_s = 1$. We show that \mathcal{V}_s does not have property B(s). Let S be a set which meets each member of \mathcal{V}_s . It cannot occur that the subspace of V_s spanned by S has dimension $\leq s-1$, because if this were the case S would lie in some hyperplane H and thus miss \bar{H} . Hence S contains s linearly independent points, $X_i = (x_{i1}, x_{i2}, \dots, x_{is})$, $i=1, 2, \dots, s$. Since $\det [x_{ij}] \neq 0$, the system

$$x_{i1} a_1 + x_{i2} a_2 + \dots + x_{is} a_s = 1, \quad i = 1, 2, \dots, s$$

has a (unique) solution a_1, a_2, \dots, a_s . Then the hyperplane H given by $a_1 x_1 + a_2 x_2 + \dots + a_s x_s = 0$ does not contain any of the points X_i , $i=1, 2, \dots, s$. Thus $|S \cap \bar{H}| \geq s$, as required. Since \mathcal{V}_s consists of $2^s - 1$ sets of size 2^{s-1} , (1) holds. ■

In the proof of Theorem 2 we shall need to use the following two constructions:

The abutting construction: Let $\mathcal{F}_1 = \{F_j^1 : j=1, 2, \dots, m\}$ and $\mathcal{F}_2 = \{F_j^2 : j=1, 2, \dots, m\}$ be families of sets satisfying $\mathcal{F}_1 \cap \mathcal{F}_2 = \emptyset$. Here, and in what follows, we use $\hat{\mathcal{F}}$ to denote

$$\bigcup_{F \in \mathcal{F}} F.$$

The family $\{F_j^1 \cup F_j^2 : j=1, 2, \dots, m\}$ is said to be obtained by abutting \mathcal{F}_1 to \mathcal{F}_2 . The construction generalizes to the case of more than two families in the obvious way. If A is a set disjoint from $\hat{\mathcal{F}}$, the family $\{F \cup A : F \in \mathcal{F}\}$ is said to be obtained by abutting A to \mathcal{F} . We denote this family by (\mathcal{F}, A) .

Let \mathcal{F}^* be obtained by abutting any number of copies of \mathcal{F} . It was pointed out in [1], and it is easy to prove, that if \mathcal{F} does not have property B(s), neither does \mathcal{F}^* . We observe, in anticipation of the next construction, that if every t -subset of $\hat{\mathcal{F}}$ is contained in at least one member of \mathcal{F} then every t -subset of $\hat{\mathcal{F}}^*$ is contained in some member of \mathcal{F}^* .

The tower construction: Let $1 = l_1 < l_2 < \dots < l_s$ be positive integers. Let n be a positive integer and write $n = q_i l_i + r_i$, $0 \leq r_i < l_i$. Let t be the largest integer such that $r_t = 0$. For $k = t, t+1, \dots, s$ let \mathcal{F}_k be a family of sets with the following properties:

- (i) $|F| = l_k$ for each $F \in \mathcal{F}_k$;
- (ii) \mathcal{F}_k does not have property B(k);
- (iii) each $(k-1)$ -subset of $\hat{\mathcal{F}}_k$ occurs in at least one member of \mathcal{F}_k .

Let \mathcal{F}_k^* be the family obtained by abutting q_k copies of \mathcal{F}_k . Then each member of \mathcal{F}_k^* is of size $q_k l_k$ and, by the remarks made in connection with the abutting construction, \mathcal{F}_k^* has properties (ii) and (iii).

Suppose that

$$(iv) \quad |\hat{\mathcal{F}}_t^*| \leq |\hat{\mathcal{F}}_{t+1}^*| \leq \dots \leq |\mathcal{F}_s^*|.$$

It is clear that we may construct the families \mathcal{F}_k^* so that

$$(iv)' \quad \hat{\mathcal{F}}_t^* \subseteq \hat{\mathcal{F}}_{t+1}^* \subseteq \dots \subseteq \hat{\mathcal{F}}_s^*.$$

Suppose further that

$$(v) \quad |\hat{\mathcal{F}}_{s-1}^*| + r_{s-1} + r_{s-2} + \dots + r_{t+1} \leq |\hat{\mathcal{F}}_s^*|.$$

Then we may choose sets $A_{s-1}, A_{s-2}, \dots, A_{t+1}$, which are pairwise disjoint, and which satisfy $A_k \subset \hat{\mathcal{F}}_s^* - \hat{\mathcal{F}}_{s-1}^*$ and $|A_k| = r_k$ for $k = s-1, s-2, \dots, t+1$. Let A_s be a set of size r_s which is disjoint from $\hat{\mathcal{F}}_s^*$ and let $\mathcal{F} = \mathcal{F}_t^* \cup (\mathcal{F}_{t+1}^*, A_{t+1}) \cup \dots \cup (\mathcal{F}_s^*, A_s)$. \mathcal{F} is a family of n -element sets and $|\mathcal{F}| = |\mathcal{F}_s^*| + |\mathcal{F}_{s-1}^*| + \dots + |\mathcal{F}_t^*|$.

We claim that \mathcal{F} does not have property B(s). If $t=s$ then $\mathcal{F} = \mathcal{F}_s^*$ and everything reduces to the abutting construction. Hence suppose $t < s$. Let S be a set which meets each member of \mathcal{F} . We need to show that $|S \cap F| \geq s$ for some $F \in \mathcal{F}$. If $S \cap A_s = \emptyset$, this is clearly the case since \mathcal{F}_s^* does not have property B(s). Thus suppose $\exists a_s \in S \cap A_s$. If $S \cap A_{s-1} = \emptyset$, S must meet each member of \mathcal{F}_{s-1}^* and, since \mathcal{F}_{s-1}^* does not have property B($s-1$), must contain $s-1$ elements of some member of \mathcal{F}_{s-1}^* . In view of (iv)' these $s-1$ elements must occur in some member of \mathcal{F}_s^* . This, and the fact that a_s is in every member of (\mathcal{F}_s^*, A_s) , yields the desired conclusion. We may therefore suppose $\exists a_{s-1} \in S \cap A_{s-1}$. If $S \cap A_{s-2} = \emptyset$ we find, by repetition of the above argument, that S must contain $s-2$ elements of some member of \mathcal{F}_{s-2}^* . These elements, together with a_{s-1} , occur in some member of \mathcal{F}_s^* and S thus contains s elements of some member of (\mathcal{F}_s^*, A_s) . It is clear that repetition of this argument leads to the conclusion that \mathcal{F} does not have property B(s).

Proof of Theorem 2. For $1 \leq k \leq s$, let \mathcal{V}_k be the family constructed in the proof of Theorem 1. For each $H \in \mathcal{H}_k$ choose an element $h \in H$ and let H' be the set obtained from H by replacing 0 (the zero vector) by h . Let $\mathcal{V}_k' = \{H' : H \in \mathcal{H}_k\}$. We now show that the choice $l_k = 2^{k-1}$ and $\mathcal{F}_k = \mathcal{V}_k \cup \mathcal{V}_k'$ in the tower construction is a legitimate one in that conditions (i)–(v) are satisfied.

\mathcal{F}_k consists of $2^{k+1} - 2$ sets, each of size 2^{k-1} , and $|\hat{\mathcal{F}}_k| = 2^k - 1$. It is clear that \mathcal{F}_k does not have property B(k) (because \mathcal{V}_k does not) and that every $(k-1)$ -subset of $\hat{\mathcal{F}}_k$ occurs in some member of \mathcal{F}_k (in fact, in some member of \mathcal{V}_k'). Thus, (i), (ii) and (iii) are satisfied.

We verify that (iv) holds; that is, $|\hat{\mathcal{F}}_{k+1}^*| \geq |\hat{\mathcal{F}}_k^*|$ for $1 \leq k \leq s-1$. We have

$$(3) \quad |\hat{\mathcal{F}}_{k+1}^*| - |\hat{\mathcal{F}}_k^*| = (2^{k+1} - 1)q_{k+1} - (2^k - 1)q_k = 2^k(2q_{k+1} - q_k) + (q_k - q_{k+1}).$$

It is obvious that $q_k \geq q_{k+1}$ so that if $2q_{k+1} - q_k \geq 0$ we are through. We also have $q_{k+1}2^k + r_{k+1} = q_k2^{k+1} + r_k (=n)$ so that $2^{k-1}(q_k - 2q_{k+1}) = r_{k+1} - r_k < 2^k$ from which we get $q_k - 2q_{k+1} \leq 1$. There remains only the possibility that $q_k - 2q_{k+1} = 1$. We then get, from (3),

$$\begin{aligned} |\hat{\mathcal{F}}_{k+1}^*| - |\hat{\mathcal{F}}_k^*| &= 2(r_k - r_{k+1}) + q_{k+1} + 1 = \\ &= 2(r_k - r_{k+1}) + \frac{n - r_{k+1}}{2^k} + 1 = \\ &= \frac{1}{2^k} (n + 2^{k+1}r_k - (2^{k+1} + 1)r_{k+1} + 2^k). \end{aligned}$$

Now $r_{k+1}=r_k$ or $2^{k-1}+r_k$. Thus

$$|\hat{\mathcal{F}}_{k+1}^*| - |\hat{\mathcal{F}}_k^*| \cong \frac{1}{2^k} (n - 2^{2k} - r_k + 2^{k-1}) > \frac{1}{2^k} (n - 2^{2k}) > 0,$$

since $n \cong 5 \cdot 2^{2s-3}$. Thus (iv) is satisfied.

In order for (v) to hold we must have

$$(2^{s-1} - 1)q_{s-1} + r_{s-1} + r_{s-2} + \dots + r_{t+1} \cong (2^s - 1)q_s.$$

This inequality is equivalent to

$$(2^{s-1} - 1) \left(\frac{n - r_{s-1}}{2^{s-2}} \right) + r_{s-1} + r_{s-2} + \dots + r_{t+1} \cong (2^s - 1) \left(\frac{n - r_s}{2^{s-1}} \right)$$

and this in turn to

$$(4) \quad n \cong 2^{s-1}(r_{s-2} + \dots + r_{t+1}) + (2 - 2^{s-1})r_{s-1} + (2^s - 1)r_s.$$

The right side of (4) assumes its largest value when $t=1$, $r_{s-1}=1$ and $r_k=2^{k-1}-1$ for $k \neq s-1$. It is then easy to check that, if $n \cong 5 \cdot 2^{2s-3}$, (4), and hence also (v), is satisfied. It now follows that the family \mathcal{F} manufactured by the tower construction does not have property B(s). Since

$$|\mathcal{F}| \leq \sum_{k=1}^s (2^{k+1} - 2) = 2^{s+2} - 2s - 4,$$

(2) holds for all $s \geq 1$, $n \cong 5 \cdot 2^{2s-3}$. ■

We conclude with some remarks.

It may be the case that for every $s \geq 4$, the inequality $m(n, s) < m(s)$ holds for some n . For example, Aizley and Selfridge [3] have announced that $m(4) \cong 19$ and Theorem 1 gives $m(8, 4) \cong 15$. However, we do not know whether $m(n, 5) < m(5)$ for any n .

The choice $\mathcal{F}_k = \mathcal{V}_k \cup \mathcal{V}_k'$ in the proof of Theorem 2 may not be the most appropriate one, in the sense that a different choice may yield a better upper bound for $m(n, s)$. It should be noted, however, that it would not suffice to choose $\mathcal{F}_k = \mathcal{V}_k$ since, for $k \geq 4$, there are $(k-1)$ -subsets of $\hat{\mathcal{V}}_k$ which are not in the complement of any hyperplane. Nor would it suffice to choose $\mathcal{F}_k = \mathcal{V}_k'$. \mathcal{V}_k' has the property that every $(k-1)$ -subset of $\hat{\mathcal{V}}_k'$ occurs in some member, but, unfortunately, it has property B(k). We are presently investigating other candidates and hope to be able to report on these investigations in a subsequent paper.

It would not suffice in constructing \mathcal{F}_k to take $\mathcal{V}_k' = \{H: H \in \mathcal{H}_k\}$ because then $|\hat{\mathcal{F}}_k| = 2^k$ and (iv) and (v) would fail to hold. This is the reason for trading 0 for h .

References

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